

Dimension and projections in normed spaces

AMS Sectional Meeting

22-24 March 2019

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Orthogonal projections

$v \in S^1$ and v^\perp a line in \mathbb{R}^2

$P_v : \mathbb{R}^2 \rightarrow v^\perp$ orthogonal projection onto v^\perp .

Given $A \subset \mathbb{R}^2$ Borel, $\dim A = s$

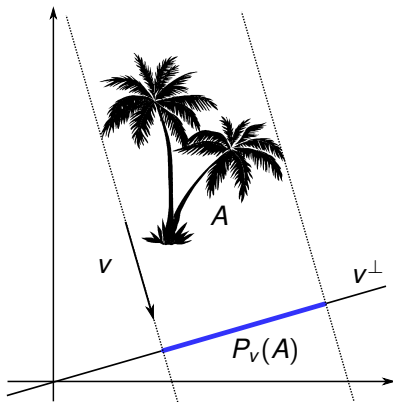
$\dim P_v(A) = ?$

Then trivially:

- $\dim P_v A \leq 1$
- $\dim P_v A \leq s$

Are these good bounds?

→ Yes!



Orthogonal projections

In 1954, John Marstrand proved:

Given a Borel set $A \subset \mathbb{R}^2$, then for almost every line v^\perp

$$\dim P_v A = \min \{1, \dim A\}.$$

for almost every line: for all v^\perp with $v \in E \subset S^1$, $\mathcal{H}^1(E) = 0$.

Marstrand's theorem rephrased:

$$\underbrace{\mathcal{H}^1\{v \in S^1 : \dim P_v A < \min \{1, \dim A\}\}}_{:=E} = 0$$

E is the set of exceptional directions for A .

Orthogonal projections

$A \subset \mathbb{R}^2$ a Borel set, $\dim A = s > 0$.

- Marstrand 1954:

If $s \leq 1$, $\mathcal{H}^1\{v \in S^1 : \dim P_v A < s\} = 0$

If $s > 1$, $\mathcal{H}^1\{v \in S^1 : \mathcal{H}^1(P_v(A)) = 0\} = 0$

- Kaufman 1968:

If $s \leq 1$, $\dim\{v \in S^1 : \dim P_v A < s\} < s$.

- Mattila 1975: Generalization to projections of \mathbb{R}^n to m -planes.

- Falconer 1982:

If $s > 1$, $\dim\{v \in S^1 : \mathcal{H}^1(P_v(A)) = 0\} \leq 1 - s$

In codimension = 1 ...

Orthogonal projections

$A \subset \mathbb{R}^n$ a Borel set, $\dim A = s > 0$.

- Marstrand 1954:

If $s \leq n-1$, $\mathcal{H}^{n-1}\{v \in S^{n-1} : \dim P_v A < s\} = 0$

If $s > n-1$, $\mathcal{H}^{n-1}\{v \in S^{n-1} : \mathcal{H}^1(P_v(A)) = 0\} = 0$

- Kaufman 1968:

If $s \leq n-1$, $\dim\{v \in S^1 : \dim P_v A < s\} < s$.

- Mattila 1975: Generalization to projections of \mathbb{R}^n to m -planes.

- Falconer 1982:

If $s > n-1$, $\dim\{v \in S^{n-1} : \mathcal{H}^{n-1}(P_v(A)) = 0\} \leq (n-1) - s$

In **codimension = 1**: Projections onto $(n-1)$ -planes

Projections in normed spaces

Let $\|\cdot\|$ be a strictly convex norm on \mathbb{R}^n .

Closest point projections onto $(n-1)$ -planes are well defined:

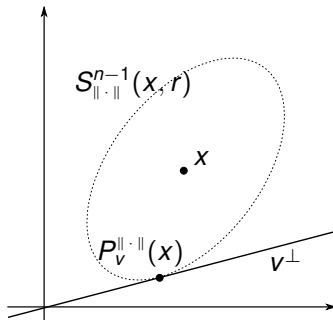
Let $v \in S^{n-1}$ and $x \in \mathbb{R}^n \setminus v^\perp$

$$r = \text{dist}_{\|\cdot\|}(x, v^\perp)$$

$$P_v^{\|\cdot\|} : \mathbb{R}^n \rightarrow v^\perp$$

given by $P_v^{\|\cdot\|}(x) \in v^\perp \cap S_{\|\cdot\|}^{n-1}(x, r)$

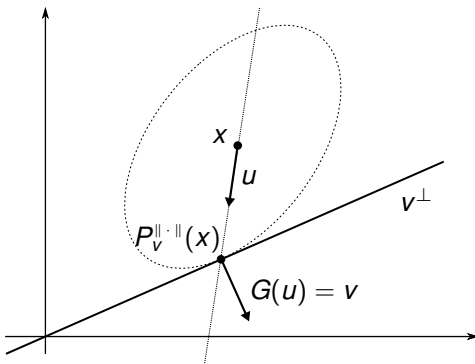
Do Marstrand-type projection theorems hold?



Projections in normed spaces

Let $\|\cdot\|$ be a strictly convex, C^1 -regular norm on \mathbb{R}^n .

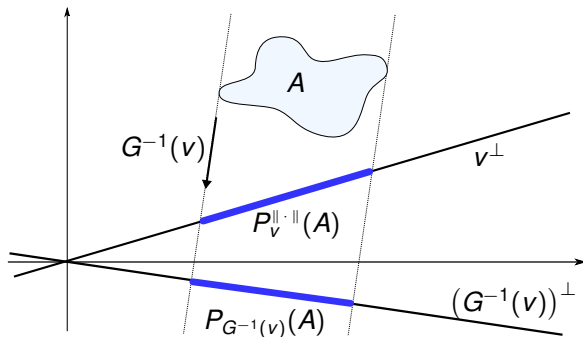
Gauss map $G : S_{\|\cdot\|}^{n-1} \rightarrow S^{n-1}$ is a homeomorphism.



For fixed $v \in S^{n-1}$, $P_v^{\|\cdot\|}$ is the projection onto v^\perp in direction $G^{-1}(v)$.

Projection Theorems for normed spaces

How to prove Marstrand-type results for projections $P_v^{\|\cdot\|} : \mathbb{R}^n \rightarrow v^\perp$?



$$P_v^{\|\cdot\|}(A) \sim P_{G^{-1}(v)}(A) \text{ in measure and dimension} \Rightarrow E_{\|\cdot\|} = G(E)$$

Projection Theorems for normed spaces

Theorem 1. $\|\cdot\|$ a strictly convex C^1 -regular norm on \mathbb{R}^n and G is dimension non-increasing and preserves \mathcal{H}^{n-1} -zero sets. Then for every Borel set $A \subseteq \mathbb{R}^n$ with $\dim A = s$,

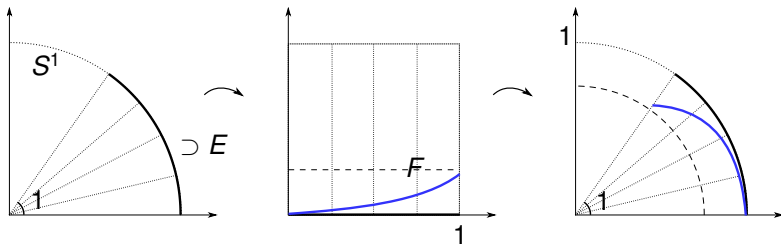
- if $s \leq n-1$
 - $\mathcal{H}^{n-1}\{v \in S^{n-1} : \dim P_v^{\|\cdot\|} A < s\} = 0$
 - $\dim\{v \in S^1 : \dim P_v^{\|\cdot\|} A < t\} < t, \quad 0 < t \leq s.$
- if $s > n-1$,
 - $\mathcal{H}^{n-1}\{v \in S^{n-1} : \mathcal{H}^1(P_v^{\|\cdot\|}(A)) = 0\} = 0$
 - $\dim\{v \in S^{n-1} : \mathcal{H}^{n-1}(P_v^{\|\cdot\|}(A)) = 0\} \leq (n-1) - s$

Note: If $\|\cdot\|$ is $C^{1,1}$ -regular and strictly convex, then Theorem 1 holds.

Projection Theorems for normed spaces

Theorem 2. There exists a strictly convex and C^1 -regular norm $\|\cdot\|$ on \mathbb{R}^2 such that Theorem 1 fails.

Proof: Find $\|\cdot\|$ strictly convex and C^1 -regular and $A \subset \mathbb{R}^2$ Borel such that G blows up E in measure and dimension.



Open problems

Summary:

- If $\|\cdot\|$ is $C^{1,1} \Rightarrow$ projection theorems hold in \mathbb{R}^n
- If $\|\cdot\|$ only $C^1 \Rightarrow$ counterexample in \mathbb{R}^2

Question: What about $C^{1,\delta}$, $0 < \delta < 1$?

Answer: Depends on the structure of the exceptional sets E , which is in general unknown.

Question: Counterexample for $n > 2$? \rightarrow work in progress.

Thank you!